UPDATION OF CLIENT DETAILS IN UCC DATABASE

FOR INDIVIDUAL

To,
Affinity Securities Private Limited
Shaila Towers, 9th floor, Room No. 903, J1/16, EP & GP Block,
Salt Lake, Sector-V, Kolkata- 700091

Date: D D / M M / Y Y Y Y

Sub: Updation of details of my trading account no. _____

Dear Sir/Madam,

I am furnishing my updated details with respect to my application for addition/modification/rectification of KYC details in UCC database.

Client Name	

Address	Contact Details	Residential Status	Occupation Type
❑ Change* ❑ No change	❑ Change* ❑ No change	❑ Change* ❑ No change	❑ Change* ❑ No change

Annual Income Details *(In Rs.)* OR Net Worth *(proof enclosed)*	❑ Below 1Lac ❑ 1-5 Lac ❑ 5-10 Lac ❑ 10-25 Lac ❑ >25 Lac OR Rs. _____	DD/MM/YYYY

❑ Salary Slip	❑ Copy of Annual Accounts	❑ Copy of Form 16 in case of salary income
❑ Net worth certificate	❑ Copy of ITR Acknowledgement	❑ Bank account statement for last 6 months
❑ Copy of latest Demat account Holding statement	❑ Any other relevant documents substantiating ownership of assets	

Politically Exposed Person (PEP)	❑ Not a PEP ❑ PEP ❑ Related to a PEP

Bank Account Details ❑ Change ❑ Addition ❑ No change	Bank Name			
	Branch Add.			
	A/c. No.		A/c. type	
	MICR No.		IFSC Code	

Depository Account Details ❑ Change ❑ Addition ❑ No change	DP Name		❑ NSDL ❑ CDSL
	DP ID	Client ID	

Mode of receiving Contract Notes and other documents	❑ Physical ❑ Electronic / Digital
	Email ID ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

***In case of change, please fill KYC form.**

DECLARATION	
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.	✍ **Client's Signature**

FOR OFFICE USE ONLY	
The details furnished above have been successfully updated in KYC record and exchange UCC database. Date: D D / M M / Y Y Y Y Place: Kolkata	Seal/Stamp of Stock Broker

AFFINITY SECURITIES PRIVATE LIMITED

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

A) Fields marked with '*' are mandatory fields.

B) Please fill the form in English and in BLOCK letters.

C) Please fill the date in DD-MM-YYYY format.

d) For particular section update, please tick(✓) in the box available before the section number and strike ffo the sections not required to be updated.

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update

KYC Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ (Mandatory for KYC update request)

Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

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☐ **1. PERSONAL DETAILS**

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D — M M — Y Y Y Y

PHOTO

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others ☐☐☐☐☐☐☐☐

Residential Status* ☐ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business
☐ X- Not Categorised

(1) ✎

☐ **2. TICK IF APPLICABLE** ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

Country of Jurisdiction of Residence* ☐☐☐☐☐☐☐☐☐☐

Tax Identification Number or equivalent (If issued by jurisdiction)* ☐☐☐☐☐☐☐☐☐☐☐☐☐

Place / City of Birth* ☐☐☐☐☐☐☐☐☐☐☐☐ Country of Birth* ☐☐☐☐☐☐☐☐

☐ **3. PROOF OF IDENTITY (PoI)***

(Certified copy of <u>any one</u> of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number ☐☐☐☐☐☐☐☐ Passport Expiry Date D D — M M — Y Y Y Y

☐ B- Voter ID Card ☐☐☐☐☐☐☐☐☐☐☐☐

☐ C- PAN Card ☐☐☐☐☐☐☐☐☐☐

☐ D- Driving Licence ☐☐☐☐☐☐☐☐☐☐☐ Driving Licence Expiry Date D D — M M — Y Y Y Y

☐ E- UID (Aadhaar) ☐☐☐☐☐☐☐☐☐☐

☐ F- NREGA Job Card ☐☐☐☐☐☐☐☐☐☐☐

☐ Z- Others (any document notified by the central government) ☐☐☐☐☐☐☐ Identification Number ☐☐☐☐☐☐☐☐☐

☐ S- Simplified Measures Account - Document Type ☐☐☐☐☐☐☐ Identification Number ☐☐☐☐☐☐☐☐☐

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4. PROOF OF ADDRESS (PoA)*

☐ 4.1 CURRENT/PERMANENT/OVERSEAS ADDRESS DETAILS

(Certified copy of <u>any one</u> of the following Proof of Address [PoA] needs to be submitted)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Offce ☐ Unspecified

Proof of Address* ☐ Passport ☐ Driving Licence ☐ UID (Aadhaar)
☐ Voter Identity Card ☐ NREGA Job Card ☐ Others ☐☐☐☐☐ please specify ☐☐☐☐☐☐
☐ Simplified Measures Account - Document Type ☐☐☐☐☐☐☐☐☐☐

Address

Line 1* ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Line 2 ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Line 3 ☐☐☐☐☐☐☐☐☐ City /Town / Village* ☐☐☐☐☐☐☐

District* ☐☐☐☐☐☐☐ Pin / Post Code* ☐☐☐☐☐☐ State / U.T ☐☐☐☐☐ Country ☐☐☐☐☐

Validity / Expiry Date of proof of address submitted ☐☐ / ☐☐ / ☐☐☐☐

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS *

☐ Same as Current / Permanent / Overseas Address details

Line 1*
Line 2
Line 3   City / Town / Village*
District*   Pin / Post Code*   State / U.T   Country

Validity / Expiry Date of proof of address submitted ☐☐ / ☐☐ / ☐☐☐☐

4.3 ADDRESS IN THE JURISDICTION DEATILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES*(Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details   ☐ Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3   City / Town / Village*
State*   ZIP / Post Code*   Country

☐ 5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID)

Tel. (Off) ☐☐☐☐ — ☐☐☐☐☐☐☐   Tel. (Res) ☐☐☐☐ — ☐☐☐☐☐☐☐   Mobile ☐☐ — ☐☐☐☐☐☐☐☐☐☐

FAX ☐☐☐☐ — ☐☐☐☐☐☐☐   Email ID

☐ 6. DETAILS OF RELATED PERSON

☐ Addition of Related Person   ☐ Deletion of Related Person   KYC Number of Related Person (if available*)

Related Person Type*   ☐ Guardian of Minor   ☐ Assignee   ☐ Authorized Representative

Name*   Prefix   First Name   Middle Name   Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON*

☐ A- Passport Number   Passport Expiry Date   DD — MM — YYYY

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence   Driving Licence Expiry Date   DD — MM — YYYY

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government)   Identification Number

☐ S- Simplified Measures Account - Document Type   Identification Number

☐ 7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD — MM — 20YY   Place :   (2)✍

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received   ☐ Certified Copies

INSTITUTION DETAILS Λ KYC VERIFICATION CARRIED OUT BY

Name **AFFINITY SECURITIES PRIVATE LIMITED** Code

Date   DD — MM — 20YY
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch

[Employee Signature]

☐ **IN-PERSON VERIFICATION (IPV)**
☐ **DOCUMENTS VERIFIED WITH ORIGINALS**
☐ **CLIENT INTERVIEWED BY**

Date: dd / mm / 20yy

Employee/Sub-Broker/AP Details:

Name: _____

Code: _____

Designation: _____

Signature:_____